6328 PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number TRADEA 09/753.784 TRANSMITTAL Filing Date January 2, 2001 **FORM** First Named Inventor LING, Marvin T. Art Unit 6328 **Examiner Name** Polnvil, Frantzy (to be used for all correspondence after initial filing) Attorney Docket Number GTX-001 CIP2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): 1. Return Postcard. Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name LUCE, FORWARD, HAMILTON & SCRIPPS LLP Signature Printed name Nicola A. Pisano Date Reg. No. June 23, 2006 34,408

## CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Roberta L. Vinson Date June 23, 2006

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Atty. Docket No.: GTX-001 CIP2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Marvin T. LING	) Group Art Unit: 3628
Serial No.: 09/753,784	) Confirmation No.: 1957
Filing Date: January 2, 2001	) ) Examiner: Poinvil, Frantzy ) )
For: METHOD AND APPARATUS FOR CONDUCTING ELECTRONIC COMMERCE TRANSACTIONS	) ) )

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, Applicants make the documents, listed on the enclosed forms PTO/SB/08, of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

CERTIFICATE OF MAILING

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Date of Deposit: June 23, 2006

Signature:

Roberta L. Vison

Copies of the U.S. patents listed on the enclosed forms PTO/SB/08 are not enclosed, in accordance with the waiver of the requirement of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 that appears in the Official Gazette Notice (Week No. 31) dated August 5, 2003 and entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003" and MPEP 609 III. A(2). Copies of foreign patent publications and journal articles are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

In accordance with 37 C.F.R. 1.97(e)(1), each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office

or PCT in a counterpart foreign or PCT application not more than three months prior to the filing of the Information Disclosure Therefore, it is believed that no fee is required in connection with this submission. If a fee is required, please charge Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

June 23, 2006

Nicola A. Pisano

Attorney for Applicant(s)

Reg. No. 34,408

c/o LUCE, FORWARD, HAMILTON &

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PTO/SB/08A (07-05)

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INFORMATION	N DISCL	OSURE
<b>STATEMENT</b>	BY APPI	LICANT

Substitute for form 1449/PTO

Sheet

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/753,784			
Filing Date	January 2, 2001			
First Named Inventor	LING, Marvin T.			
Art Unit	3628			
Examiner Name	Poinvil, Frantzy			
Attorney Docket Number	GTX-001 CIP2			

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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		WO 9944165	09-02-1999	E-Lysium Transaction Systems Inc. (US		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). °For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Sapplicant is to place a check mark here if English language Translation is attached.

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INF	ORMATION	DIS	CLOSURE	Filing Date	January 2, 2001
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Sheet	2	of	2	Attorney Docket Number	GTX-001 CIP2

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		WAYNER P, "Digital Cash," Digital Cash: Commerce on the Net, 1 April 1997, pages 241-245, XP002921211	
		O'MAHONY D et al., "Electronic Payment Systems," 1997, Electronic Payment Systems, Artech House Computer Science Library, Boston, MA: Artech House, US, XP002122620 ISBN: 0-89006-295-5, pages 146-147	
		O'MAHONY D et al., "Electronic Payment Systems," 1997, Artech House Inc., XP002907281, pages 191-212	

Examiner	Date	_
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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